

PATENTS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

KENNY, John J.

Serial No.: **10/601,745**

Filed: **June 23, 2003**

For: **System and Method for Increasing
Upstream Communication Efficiency in
a Optical Network**

Examiner: Unknown

Art Unit: **2633**

Confirmation No.: **8989**

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

March 3, 2004

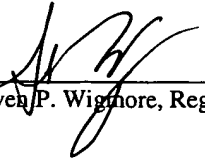
Sir:

The citation of information on the attached Form PTO-1449, "List of Information Disclosed by Applicant" is made pursuant to 37 C.F.R. §§ 1.56, 1.97, and 1.98.

The attached Form PTO-1449 list references that were cited in the parent application of U.S. Serial No. 09/899,410. The present application claims priority to the parent application under 35 U.S.C. 120 and therefore, copies of the references are not enclosed in accordance with 37 C.F.R. §1.98(d).

In addition, no fees are believed to be due for entry of this Supplemental Information Disclosure Statement as it is being submitted before the mailing of a first Office Action on the merits of the subject application.


I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on March 3, 2004.


Steven P. Wigmore, Reg. No. 40,447

If necessary, the Commissioner is authorized to charge Deposit Account No. 11-0980 for any additional fees that may be due in connection with this submission.

The citation of this information does not constitute an admission of priority or that any cited item is available as a reference, or a waiver of any right the applicant may have under applicable statutes, Rules of Practice in patent cases, or otherwise.

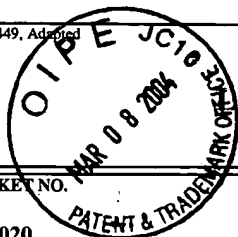
Respectfully submitted,



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K&S Docket: 08286.105020

FORM PTO-1449, Adopted

**LIST OF INFORMATION DISCLOSED BY APPLICANT**

(Use several sheets if necessary)

ATTY. DOCKET NO. 08286.105020	SERIAL NO. 10/601,745	FILING DATE June 23, 2003
APPLICANT John J. Kenny		GROUP 2633

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AE	20020089725	07/11/02	Farmer et al.			

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	NAME	TRANSLATION YES NO.	

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449, Adapted

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U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	US 5,541,917	07/30/96	FARRIS, Robert D.			
	AB	US 5,969,836	10/19/99	FOLTZER, Lawrence E.			
	AC	US 5,572,347	11/05/96	BURTON, Larry W. et al.			
	AD	US 5,365,588	11/15/94	BIANCO, Mark E. et al.			
	AE	US 4,956,863	09/11/90	GOSS, Kenneth C.			
	AF	US 5,253,250	10/12/93	SCHLAFFER, John et al.			
	AG	US 6,336,201	01/01/01	GEILE, Michael J. et al.			
	AH	US 5,793,413	08/11/98	HYLTON, Denny L. et al.			
	AI	US 5,790,523	08/04/98	RITCHIE, JR., John A. et al.			
	AJ	US 6,674,967	01/06/04	SKROBKO, John et al.			
	AK	US 6,483,635	11/19/02	WACH, Michael L.			

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	NAME	TRANSLATION	
						YES	NO.
	AQ	EP 0955739 A2	11/10/99	Europe	NEC Corporation		
	AR	EP 0713347 A2	05/22/96	Europe	AT&T Corporation		

EXAMINER

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AH	5,572,349	11/05/96	Hale et al.			

OTHER PRIOR ART *(Including Author, Title, Date, Pertinent Pages, Etc.)*

CA	BOURNE, John et al., "Heathrow - Experience and Evolution" IEEE, 1990, pages 1091-1095.					
CB	MIKI, Tetsuya et al., "A Design Concept on Fiber-Optic Wavelength-Division-Multiplexing Subscriber Loop System" WTG - Fachber, 1980, pages 41-45.					
CC	YAMAGUCHI, K. et al., "A Broadband Access Network Based on Optical Signal Processing: The Photonic Highway" IEEE, 1990, pages 1030-1037.					
CD	International Search Report of July 7, 2003 for PCT/US01/51350					
CE	ANGELOPOULOS, J.D. et al., "A Transport Mac Method for Bandwidth Sharing and CDV Control at the ATM Layer of Passive Optical Networks" Journal of Lightwave Technology, IEEE, 1996, pages 2625-2634.					
CF	International Search Report of July 2, 2003 for PCT/US03/07814					
CG	International Search Report of October 3, 2003 for PCT/US03/12231					
CH	CICIORA, Walter S. et al., "Modern Cable Television Technology: Video, Voice, and Data Communications", ©1999, pages 162-214, Chapter 4, Morgan Kaufmann Publishers, Inc., San Francisco, California.					

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APPLICANT	GROUP	
John J. Kenny	2633	

OTHER PRIOR ART *(Including Author, Title, Date, Pertinent Pages, Etc.)*

CI	GLAESEMANN, G. Scott et al., "The Mechanical Reliability of Corning® Optical Fiber in Bending White Paper", September 2002, pages 1-4
CJ	Corning® SMF-28™ Optical Fiber Product Information, "Corning® Single-Mode Optical Fiber", April 2002, pages 1-4.
CK	Companie Deutsch, Components for Fiber Optics, "Triplexers - WDM: FSAN - TPM Series", pages 1-6.
CL	CEDaily Direct News, "Today's Report", March 19, 2001, pages 1-5.
CM	Lucent Technologies, "Lucent Technologies Introduces Single-Fiber Network Access Devices for Voice, Video, and Data Services to the Home or Curb", June 6, 2000, pages 1-2.
CN	Lucent Technologies, "Agere Systems Introduces Single-Fiber Network Access Devices for Voice, Video and Data Services to the Home or Curb", March 19, 2001, pages 1-3.
CL	Optical Networks Daily, a Publication of Optical Keyhole, August 26, 2003, pages 1-13.

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	AA	US 6,041,056	03/21/00	BIGHAM, John A. et al.			
	AB	US 5,666,487	09/09/97	GOODMAN, William et al.			
	AC	US 5,557,317	09/17/96	NISHIO, Makoto et al.			
	AD	US 5,534,912	07/09/96	KOSTRESKI, Bruce W.			
	AE	US 5,247,347	09/21/93	LITTERAL, Larry A. et al.			
	AF	US 6,097,515	08/01/00	POMP, Steve et al.			
	AG	US RE 35,774	04/21/98	MOURA, Eduardo J. et al.			

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						YES	NO.

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